

# Homework 7 Solutions

## 4.2 Determinants

49. We use Theorems 4.8, 4.9 and  $\det A = 3$ ,  $\det B = -2$  to compute  $\det(B^{-1}A)$ .

$$\det(B^{-1}A) \stackrel{\text{Thm 4.8}}{=} (\det(B^{-1}))(\det A) \stackrel{\text{Thm 4.9}}{=} \left(\frac{1}{\det B}\right)(\det A) \stackrel{\text{gives}}{=} \left(-\frac{1}{2}\right)(3) = -\frac{3}{2}$$

55. We use the fact that  $A^2 = A \stackrel{\text{Ex 47}}{\Rightarrow} (\det A)^2 = \det A$  to find all possible values of  $\det A$ .

$$(\det A)^2 = \det A \Rightarrow (\det A)^2 - \det A = 0 \Rightarrow \det A(\det A - 1) = 0 \Rightarrow \det A = 0, 1$$

Q: If we let  $x = \det A$ , what does the above calculation look like?

A: With  $x = \det A$ :  $x^2 = x \Rightarrow x^2 - x = 0 \Rightarrow x(x - 1) = 0 \Rightarrow x = 0, 1$ .

This clarifies the algebra and helps us remember that  $\det A$  is a scalar.

## 4.3 Eigenvalues and Eigenvectors of $n \times n$ Matrices

1. We follow the procedure outlined before Example 1.

(a) The characteristic polynomial is  $\det(A - \lambda I) = 0$ , so we have:

$$\det(A - \lambda I) = \begin{vmatrix} 1 - \lambda & 3 \\ -2 & 6 - \lambda \end{vmatrix} = (1 - \lambda)(6 - \lambda) - 3(-2) = \lambda^2 - 7\lambda + 12 = (\lambda - 3)(\lambda - 4).$$

(b) The characteristic equation is  $(\lambda - 3)(\lambda - 4) = 0$ , which has solutions  $\lambda_1 = 3$  and  $\lambda_2 = 4$ .

(c) To find the eigenvectors corresponding to  $\lambda_1$ , we find the null space of  $A - 3I = \begin{bmatrix} -2 & 3 \\ -2 & 3 \end{bmatrix}$ .

$$\text{Row reduction produces } \left[ \begin{array}{cc|c} -2 & 3 & 0 \\ -2 & 3 & 0 \end{array} \right] \longrightarrow \left[ \begin{array}{cc|c} 1 & -\frac{3}{2} & 0 \\ 0 & 0 & 0 \end{array} \right].$$

Thus,  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is in the eigenspace  $E_3$  if and only if  $x_1 - \frac{3}{2}x_2 = 0 \Leftrightarrow x_2 = \frac{2}{3}x_1$ .

$$\text{Thus, } E_3 = \text{span} \left( \begin{bmatrix} 1 \\ \frac{2}{3} \end{bmatrix} \right).$$

$$\text{Similarly, } A - 4I = \begin{bmatrix} -3 & 3 \\ -2 & 2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix}, \text{ so } E_4 = \text{span} \left( \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right).$$

(d) Each eigenvalue has algebraic and geometric multiplicity 1.

$$\begin{aligned}
 4. \quad (a) \quad \det(A - \lambda I) &= \begin{vmatrix} 1-\lambda & 0 & 1 \\ 0 & 1-\lambda & 1 \\ 1 & 1 & -\lambda \end{vmatrix} = -(1-\lambda) - (1-\lambda) - \lambda(1-\lambda)^2 \\
 &= -2(\lambda-1) - \lambda(\lambda-1)^2 = (\lambda-1)(\lambda-2)(\lambda+1).
 \end{aligned}$$

$$(b) \quad (\lambda-1)(\lambda-2)(\lambda+1) = 0 \Leftrightarrow \lambda_1 = -1, \lambda_2 = 1, \lambda_3 = 2.$$

$$(c) \quad A + I = \begin{bmatrix} 2 & 0 & 1 \\ 0 & 2 & 1 \\ 1 & 1 & 1 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & \frac{1}{2} \\ 0 & 1 & \frac{1}{2} \\ 0 & 0 & 0 \end{bmatrix}, \text{ so } E_{-1} = \text{span} \left( \begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix} \right).$$

$$A - I = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & -1 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, \text{ so } E_1 = \text{span} \left( \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix} \right).$$

$$A - 2I = \begin{bmatrix} -1 & 0 & 1 \\ 0 & -1 & 1 \\ 1 & 1 & -2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}, \text{ so } E_2 = \text{span} \left( \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right).$$

(d) Each eigenvalue has algebraic and geometric multiplicity 1.

$$\begin{aligned}
 9. \quad (a) \quad \det(A - \lambda I) &= \begin{vmatrix} 3-\lambda & 1 & 0 & 0 \\ -1 & 1-\lambda & 0 & 0 \\ 0 & 0 & 1-\lambda & 4 \\ 0 & 0 & 1 & 1-\lambda \end{vmatrix} = \begin{vmatrix} 3-\lambda & 1 \\ -1 & 1-\lambda \end{vmatrix} \begin{vmatrix} 1-\lambda & 4 \\ 1 & 1-\lambda \end{vmatrix} \\
 &= [(3-\lambda)(1-\lambda)+1][(\lambda-1)^2-4] = (\lambda-2)^2(\lambda-3)(\lambda+1).
 \end{aligned}$$

$$(b) \quad (\lambda-2)^2(\lambda-3)(\lambda+1) = 0 \Leftrightarrow \lambda_1 = -1, \lambda_2 = \lambda_3 = 2, \lambda_4 = 3.$$

$$(c) \quad A + I = \begin{bmatrix} 4 & 1 & 0 & 0 \\ -1 & 2 & 0 & 0 \\ 0 & 0 & 2 & 4 \\ 0 & 0 & 1 & 2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 2 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \text{ so } E_{-1} = \text{span} \left( \begin{bmatrix} 0 \\ 0 \\ 1 \\ -\frac{1}{2} \end{bmatrix} \right).$$

$$A - 2I = \begin{bmatrix} 1 & 1 & 0 & 0 \\ -1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 1 & -1 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \text{ so } E_2 = \text{span} \left( \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix} \right).$$

$$A - 3I = \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & -2 & 0 & 0 \\ 0 & 0 & -2 & 4 \\ 0 & 0 & 1 & -2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \text{ so } E_3 = \text{span} \left( \begin{bmatrix} 0 \\ 0 \\ 1 \\ \frac{1}{2} \end{bmatrix} \right).$$

(d)  $-1$  and  $3$  have algebraic and geometric multiplicity 1,  
while  $2$  has algebraic multiplicity 2 and geometric multiplicity 1.

20. Given  $A^n = O$ , we need to show if  $Ax = \lambda x$  then  $\lambda = 0$ . That is:

1) 0 is an eigenvalue of  $A$  and 2) if  $\lambda$  is an eigenvalue of  $A$ , then  $\lambda = 0$ .

To prove assertion 1 we make the following observation:

Q: What is the contrapositive of Theorem 4.16?

A:  $A$  is *not* invertible if and only if 0 *is* an eigenvalue of  $A$ .

Q: What does the contrapositive of Theorem 4.16 imply?

A:  $\det A = 0$  if and only if 0 *is* an eigenvalue of  $A$ . Why? Because the contrapositive of Theorem 4.6 in Section 4.2 implies  $\det A = 0$  if and only if  $A$  is *not* invertible.

So, to prove 0 is an eigenvalue of  $A$  it suffices to show  $\det A = 0$ .

That is, if  $A^n = O$ , then  $\det A = 0$ . So, 0 is an eigenvalue of  $A$ .

Since  $(\det A)^n \stackrel{\text{Thm 4.8}}{=} \det(A^n) \stackrel{\text{Sect 4.2}}{=} \det(O) \stackrel{A^n=O}{=} 0$ ,  $\det A = 0$ . So, 0 is an eigenvalue of  $A$ .

Next we show if  $\lambda$  is an eigenvalue of  $A$ , then  $\lambda = 0$ .

If  $Ax = \lambda x$ , then Theorem 4.18(c) implies  $A^n x = \lambda^n x = O x = 0$ .

Since  $x$  is an eigenvector,  $x \neq 0$ . So  $\lambda^n x = 0$  implies  $\lambda^n = 0$ . Therefore,  $\lambda = 0$  as claimed.

25. As noted in Theorem 4.17(d),  $A \rightarrow I$  if and only if  $A$  is invertible.

Q: If the conjecture of this exercise were true, what would that imply?

A: Since the only eigenvalue of  $I$  is 1, all invertible matrices would only have eigenvalue 1. This is clearly nonsense. However, they may be *related*.

Q: Let  $x$  be an eigenvector of  $A$  corresponding to eigenvalue  $\lambda$ .

If  $A \xrightarrow{R_i \leftrightarrow R_j} B$ , that is  $B = E_{ij}A$ , what goes wrong?

A: Since  $B = E_{ij}A$ , we have  $Bx = E_{ij}(Ax) = \lambda(E_{ij}x)$ .

So the components of  $x$  are interchanged and  $x$  fails to be an eigenvector for  $B$ .

Q: If  $A \xrightarrow{kR_i} B$ , what goes wrong?

Q: If  $A \xrightarrow{R_i + kR_j} B$ , what goes wrong?

Q: So, if  $A \rightarrow B$ , we have seen their eigenvalues are not necessarily equal. However:

If  $A \rightarrow B$ , is there a relationship among the eigenvalues and eigenvectors?

A: Hint:  $2I$  has eigenvalue 2. Can this process be generalized? See Exercise 41.

28. (a)  $C(p) = \begin{bmatrix} -a & -b \\ 1 & 0 \end{bmatrix}.$

So, the characteristic polynomial of  $C(p)$  is  $\begin{vmatrix} -a-\lambda & -b \\ 1 & -\lambda \end{vmatrix} = \lambda^2 + \lambda a + b.$

(b) Suppose that  $\lambda$  is an eigenvalue of  $C(p)$ , with eigenvector  $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}.$

$$\text{Then } \lambda \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = C(p) \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \Leftrightarrow \begin{bmatrix} \lambda x_1 \\ \lambda x_2 \end{bmatrix} = \begin{bmatrix} -a & -b \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} -ax_1 - bx_2 \\ x_1 \end{bmatrix} \Leftrightarrow$$

$x_1 = \lambda x_2$ , so  $\begin{bmatrix} \lambda \\ 1 \end{bmatrix}$  is a corresponding eigenvector.

## 4.4 Similarity and Diagonalization

2.  $\det(A - \lambda I) = \begin{vmatrix} 3 - \lambda & -1 \\ -5 & 7 - \lambda \end{vmatrix} = \lambda^2 - 10\lambda + 16$ , while

$\det(B - \lambda I) = \begin{vmatrix} 2 - \lambda & 1 \\ -4 & 6 - \lambda \end{vmatrix} = \lambda^2 - 8\lambda + 16$ . Thus, by Theorem 4.22(d),  $A \not\sim B$ .

4.  $\det(A - \lambda I) = \begin{vmatrix} 1 - \lambda & 2 & 0 \\ 0 & 1 - \lambda & -1 \\ 0 & -1 & 1 - \lambda \end{vmatrix} = -\lambda^3 + 3\lambda^2 - 2\lambda$ , while

$\det(B - \lambda I) = \begin{vmatrix} 2 - \lambda & 1 & 1 \\ 0 & 1 - \lambda & 0 \\ 2 & 0 & 1 - \lambda \end{vmatrix} = -\lambda^3 + 4\lambda^2 - 3\lambda \Rightarrow A \not\sim B$ .

10.  $A$  has eigenvalue 3 with algebraic multiplicity 3.

Furthermore  $A - 3I = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ , so this eigenvalue has geometric multiplicity 1,

and thus  $A$  is not diagonalizable, by the Diagonalization Theorem.

11. Expanding along the first row,

$$\begin{aligned} \det(A - \lambda I) &= \begin{vmatrix} 1 - \lambda & 0 & 1 \\ 0 & 1 - \lambda & 1 \\ 1 & 1 & -\lambda \end{vmatrix} = (1 - \lambda)[(1 - \lambda)(-\lambda) - 1] - (1 - \lambda) \\ &= (1 - \lambda)(\lambda^2 - \lambda - 2) = -(\lambda + 1)(\lambda - 1)(\lambda - 2). \end{aligned}$$

So,  $A$  has eigenvalues  $-1$ ,  $1$ , and  $2$ , and Theorem 4.25 tells us that  $A$  is diagonalizable.

We find bases for the eigenspaces:

$A + I = \begin{bmatrix} 2 & 0 & 1 \\ 0 & 2 & 1 \\ 1 & 1 & 1 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & \frac{1}{2} \\ 0 & 1 & \frac{1}{2} \\ 0 & 0 & 0 \end{bmatrix}$ , so  $E_{-1}$  has basis  $\mathbf{p}_1 = \begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix}$ .

$A - I = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & -1 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ , so  $E_1$  has basis  $\mathbf{p}_2 = \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}$ .

$A - 2I = \begin{bmatrix} -1 & 0 & 1 \\ 0 & -1 & 1 \\ 1 & 1 & -2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$ , so  $E_2$  has basis  $\mathbf{p}_3 = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ .

Thus,  $P = \begin{bmatrix} 1 & 1 & 1 \\ 1 & -1 & 1 \\ -2 & 0 & 1 \end{bmatrix}$  and  $D = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$  satisfy  $P^{-1}AP = D$ .